

Attorney's Docket No.: 06618/923001  
Client's Ref. No.: CIT 3685-CIP/OE 042

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Number of pages including this page 33

**MAY 03 2005**

Applicant : Anatoliy Savchenkov et al.  
Serial No. : 10/702,201  
Filed : November 4, 2003

Art Unit : 2874  
Examiner : Daniel J. Petkovsek

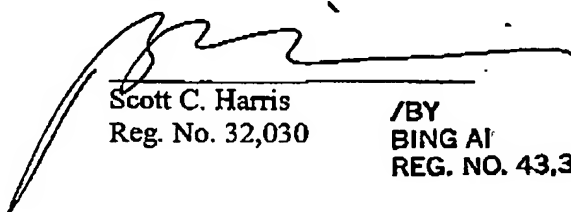
Title : Optical Filter Having Coupled Whispering-Gallery-Mode Resonators

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attached to this facsimile communication cover sheet is an Information Disclosure Statement (2 pages) form PTO-1449 (2 pages) and 5 references, faxed this 3<sup>rd</sup> day of May 2005, to the United States Patent and Trademark Office.

Respectfully submitted,

Date: May 3, 2005

  
Scott C. Harris  
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Attorney's Docket No.: 06618/923001/CIT 3685-CIP/OE 042

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Anatoliy Savchenkov et al.      Art Unit: 2874  
Serial No.: 10/702,201      Examiner: Daniel J.  
Filed : November 4, 2003      Petkovsek  
Title : OPTICAL FILTER HAVING COUPLED WHISPERING-GALLERY-  
MODE RESONATORS

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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MAY 03 2005

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicants call attention to the attached Information  
Disclosure Statement and documents listed on form PTO-1449.

Kindly accept this Information Disclosure Statement under  
Rule 97(c)(2). Please charge the rule 17(p) certification fee  
of \$180 to Deposit Account 06-1050.

Consideration of the foregoing and enclosures plus the  
return of a copy of the enclosed form PTO-1449 with the  
Examiner's initials in the left column per MPEP 609 are  
earnestly solicited along with an early action on the merits.

05/06/2005 EFLORES 00000145 061050 10702201  
01 FC:1806 180.00 DA

## CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that this correspondence is  
being transmitted by facsimile to the Patent and  
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May 3, 2005

Date of Transmission

Signature

S. Gebhart

Name of Person Signing Certificate

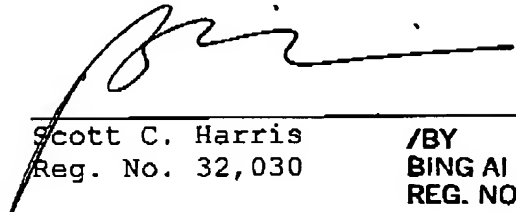
Page 2 of 2

Attorney's Docket No.: 06618/923001

Please apply all applicable charges or credits to Deposit  
Account No. 06-1050.

Respectfully submitted,

Date: May 3, 2005

  
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Sheet 1 of 2

Substitute Form PTO-1449 (Modified)  <b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. <b>06618-923001</b>	Application No. <b>10/702,201</b>
	Applicant <b>Anatoliy Savchenkov et al.</b>		
	Filing Date <b>11/4/2003</b>	Group Art Unit <b>2874</b>	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5204640	4/20/1993	Logan			
	AB	5220292	6/15/1993	Bianchini, et al.			
	AC	5723856	3/3/1998	Yao, et al.			
	AD	5777778	7/7/1998	Yao			
	AE	5917179	6/29/1999	Yao			
	AF	5929430	7/27/1999	Yao, et al.			
	AG	6138076	3/18/2003	Yao			
	AH	2002/0018611	2/14/2002	Maleki, et al.			
	AI	6389197	5/14/2002	Iltchenko, et al.			
	AJ	6417957	7/9/2002	Yao			
	AK	6473218	10/29/2002	Maleki, et al.			
	AL	6476959	11/5/2002	Yao			
	AM	6487233	11/26/2002	Maleki, et al.			
	AN	6488861	12/3/2002	Iltchenko, et al.			
	AO	6490039	12/3/2002	Maleki, et al.			
	AP	2003/0012504	1/16/2003	Iltchenko			
	AQ	6535328	3/18/2003	Yao			
	AR	6567436	5/20/2003	Yao, et al.			
	AS	6580532	6/17/2003	Yao, et al.			
	AT	6594061	7/15/2003	Huang, et al.			
	AU	6762869	7/13/2004	Maleki, et al.			
	AV	6871025	3/22/2005	Maleki, et al.			
	AW						
	AX						
	AY						
	AZ						
	AAA						

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Disclosure Form (PTO-1449)

Sheet 2 of 2

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06618-923001	Application No. 10/702,201
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)		Applicant Anatoliy Savchenkov et al.	
		Filing Date 11/4/2003	Group Art Unit 2874
(37 CFR §1.98(b))			

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	ABB							
	ACC							
	ADD							
	AEE							
	AFF							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AGG	Logan, et al.; Stabilization of Oscillator Phase Using a Fiber-Optic Delay-Line; 1991; 45 <sup>th</sup> Annual Symposium on Frequency Control; pps 508-512
	AHH	L.E. Myers, et al.; Quasi-phase-matched optical parametric oscillators in bulk periodically poled LiNbO <sub>3</sub> ; November 1995; J.Opt. Soc. Am. B/Vol. 12, No. 11; pps. 2102-2116
	AII	X. Steve Yao, et al.; Converting Light into Spectrally Pure Microwave Oscillation; April 1996; Optics Letters, Vol. 21, No. 7; pps. 483-485
	AJJ	H. Ito, et al.; InP/InGaAs uni-travelling-carrier photodiode with 310 GHz receiver; Oct. 12, 2000; Electronics Letters, Vol. 36, No. 21; pps. 1809-1810
	AKK	V. Ilchenko, et al.; Sub-Micro Watt Photonic Microwave Receiver; November 2002; IEEE Photonics Technology Letters, Vol. 14, No. 11; pps. 1602-1604
	ALL	
	AMM	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Disclosure Form (PTO-1449)